IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Robert P. Freese et al.

Confirmation No.: 8346

Application No.: 10/661,917

Group Art Unit: 1756

Filed: September 11, 2003

Examiner: Daborah Chacko Davis

For:

METHODS FOR MASTERING MICROSTRUCTURES THROUGH A SUBSTRATE USING NEGATIVE PHOTORESIST

November 20, 2006

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

NINTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §1.97(c)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the amendment by the U.S. Patent and Trademark Office to 37 C.F.R. § 1.98(a)(2)(ii) effective October 21, 2004. This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(c), before final Office Action or Allowance, whichever is earlier. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. §1.56 and Section 609 of the MPEP.

In accordance with the requirements of 37 C.F.R. § 1.97(c)(2), the Commissioner is authorized to charge \$180.00 to Deposit Account No. 50-0220 for the fee specified in 37 C.F.R. § 1.17(p). This amount is believed to be correct; however, the Commissioner is authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted.

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CERTIFICATION OF ELECTRONIC TRANSMISSION **UNDER 37 CFR § 1.8**

I hereby certify that this correspondence is being transmitted electronically to the U.S. Patent and Trademark Office on November 20, 2006.

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Susan E. Freedman

Date of Signature: November 20, 2006

Substitute form 1449A/PTO	Complete if Known		
	Application Number	10/661,917	
INFORMATION DISCLOSURE	Filing Date	09/11/2003	
STATEMENT BY APPLICANT	First Named Inventor	Robert P. Freese	
	Group Art Unit	1756	
(use as many sheets as necessary)	Examiner Name	Daborah Chacko Davis	
Sheet 1 of 1	Attorney Docket Number	9409-3	

	U.S. PATENTS AND PATENT PUBLICATIONS					
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	
		Number	Kind Code (if known)	Document	MM-DD-YYYY	
	1.	US-2001/0008741	A1	Tomita et al.	07/19/2001	
	2.	US-5,281,511		Gerhardt	01/25/1994	
81.110	3.	US-5,175,072		Martens	12/29/1992	
	4.	US-4,423,956		Gordon	01/03/1984	
		US-				
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	U.S. PATENT APPLICATIONS				
Examiner Initials*	Cite No.	U.S. Serial No.	Name of Applicant of Cited Document	Date of Filing of Cited Document MM-DD-YYYY	
		US-			
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				FOREIGN PA	ATENT DOCUMENTS		
Examiner Cit Initials* No	Cite	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of	Translation
	No.	Office	Number	Kind Code (if known)	- Document	Cited Document MM-DD-YYYY	
	5.	EP	1 199 602	A2	Illinois Tool Works Inc.	04/24/2002	
		-					

		OTHER NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Т
	6.	Communication With European Search Report, EP Application No. 04809596.2, 11/02/2006	
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			+

Examiner Signature	Date Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.